

Notification of Conflict of Interest Referral

For use in cases involving possible violations of 18 U.S.C. §§ 203, 205, 207-209 by current or former executive branch employees only; see reverse for summary of statutory/regulatory background. Please return directly to: U.S. Office of Government Ethics, 1201 New York Ave. NW, Suite 500, Washington, DC 20005-3917. Phone: Associate Director for Program Reviews (202) 482-9270. FAX: (202) 482-9238.

Agency Referring the Case USPS Office of Inspector General	Agency Case or Referral Number 10UJIOR3095CF02CF	Case Referred to: <input type="checkbox"/> DOJ, Public Integrity Section, Criminal Division <input checked="" type="checkbox"/> U.S. Attorney for (district) Middle District of FL <input type="checkbox"/> DOJ, other
Date of Referral to DOJ August 10, 2010	Name of Employee Involved in Case (optional), Agency, and Agency Component Where he/she was Employed. U.S. Postal Service, Orlando Vehicle Maintenance Facility	

PART I ETHICS TRAINING

Is there any evidence the individual received ethics training? YES NO UNCLEAR

PART II STATUTE(S) INVOLVED IN THIS CASE

Please check the appropriate box for the statute(s) involved in this case, then complete the rest of the information for the statute(s).

18 U.S.C. § 203 (Compensation for Representation Affecting the Government)

Federal entity before which representation occurred: _____
Compensated representation on behalf of: _____
Were representational services rendered or to be rendered: by the employee or by another?

18 U.S.C. § 205 (Representation Affecting the Government)

Federal entity before which representation occurred:
Representation on behalf of:

18 U.S.C. § 207 (Post-Employment)

Federal entity before which representation occurred:
Representation on behalf of:

Was the communication/representation: oral? and/or written?

Former employee termination service before January 1, 1991. Check subsections involved:
 207(a) 207(b)(1) 207(b)(2) 207(c)

Former employee termination service on or after January 1, 1991. Check subsections involved:
 207(a)(1) 207(a)(2) 207(b) 207(c) 207(d) 207(f)

If 207(b) or 207(f) is checked, was behind-the-scenes aid or advice involved? Yes No

18 U.S.C. § 208 (Acts Affecting a Personal Financial Interest)

Does the case involve the financial interest of the employee? of the employee's spouse?
 Minor child? A firm with which the employee was negotiating for employment?
 Other? (specify) _____

Was a waiver sought? Yes No Was it granted? Yes No
Was the employee required to file a financial disclosure form? Yes No If yes, check form involved:

SF 278 OGE Form 450 Other (specify) _____

If so, was the basis for the interest disclosed on the financial disclosure form? Yes No

18 U.S.C. § 209 (Supplementation of Salary)

Type of supplementation (meals, travel, cash, etc.): _____ Number of supplements: _____
Value of supplementation: \$ _____

Additional Statutes Involved in Conflict of Interest Referrals

Was 18 U.S.C. § 201 (bribery/gratuity) involved? Yes No

Was 5 U.S.C. App. (Ethics in Government Act) § 501 (outside earned income) involved? Yes No

Was 5 U.S.C. App. (Ethics in Government Act) § 502 (outside employment) involved? Yes No

Was 18 U.S.C. § 1001 (false statements) involved? Yes No

Was 5 U.S.C. App. (Ethics in Government Act) § 101 et seq. (Financial disclosure) involved? Yes No

Other (list): _____

Agency Contact/Telephone Number

Date

July 8, 2011

Carolyn Austin / 813-371-0729